

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,937	07/09/2001	Koji Shimazawa	033211-013

CONFIRMATION NO. 5939

## FORMALITIES LETTER



\*OC00000006513163\*

Ellen Marcie Emas  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 09/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

10/24/2001 HBERHE 00000083 09899937  
01 FC:105 130.00 DP  
02 FC:139 130.00 DP

09899937/107301

SG

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09889937-102301



Patent  
Attorney's Docket No. 033211-013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
)  
Koji SHIMAZAWA et al. ) Group Art Unit: Unassigned  
)  
Application No.: 09/899,937 ) Examiner: Unassigned  
)  
Filed: July 9, 2001 )  
)  
For: MAGNETORESISTIVE EFFECT THIN- )  
FILM MAGNETIC HEAD AND )  
MANUFACTURING METHOD OF )  
MAGNETORESISTIVE EFFECT THIN- )  
FILM MAGNETIC HEAD )

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.

§ 1.53(b) dated September 5, 2001, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☐ \$65.00 (205) ☒ \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☒ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;
- ☐ drawings for publication;

(05/01)



Transmittal Letter for Missing Parts of Application  
Attorney's Docket No. 033211-013  
Application No. 09/899,937  
Page 2

- ☒ other Submission of Substitute Formal Drawings w/12 Sheets, Fig(s) 1-18n; and  
Request for Corrected Official Filing Receipt (with references);
- ☒ a check in the amount of \$ 260.00 for the fee due for missing parts; and
- ☐ charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for  
missing parts.
- ☐ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to  
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
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(703) 836-6620

By:

Ellen Marcie Emas  
Registration No. 32,131

Date: October 24, 2001

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BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

By:

Ellen Marcie Emas  
Registration No. 32,131